(Last)

CORP

(Street)

(Citv)

share

1. Title of

Derivative

Security (Instr. 3)

SAN DIEGO

FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL		
OMB Number:	3235-0287	
Estimated average burden		
hours per respons	hours per response: 0.5	

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person (Check all applicable) **RETAIL OPPORTUNITY** Silveira Lauren N. Director 10% Owner **INVESTMENTS CORP** [ ROIC ] Officer (give title Other (specify 1 below) below) (First) (Middle) VP, Chief Accounting Officer 3. Date of Earliest Transaction (Month/Day/Year) C/O RETAIL OPPORTUNITY INVESTMENTS 01/01/2025 11250 EL CAMINO REAL, SUITE 200 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable 1 Form filed by One Reporting Person CA 92130 Form filed by More than One Reporting Person (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 2A. Deemed 6. Ownership 7. Nature of 1. Title of Security (Instr. 3) 2. Transaction 5. Amount of **Execution Date** Transaction Securities Form: Direct Indirect Beneficial (Month/Day/Year) Code (Instr. 5) Beneficially (D) or Indirect if any (Month/Day/Year) 8) Owned Following (I) (Instr. 4) Ownership Reported Transaction(s) (Instr. 4) (A) or (D) ν Price Code Amount (Instr. 3 and 4) Common Stock, par value \$0.0001 per See 01/01/2025 3,423 D \$17.36 33,686 Ι footnote(1) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 3. Transaction 6. Date Exercisable and 7. Title and 9. Number of 11. Nature 3A. Deemed 5. Number 8. Price of 10. Expiration Date (Month/Day/Year) Derivative Conversion Date **Execution Date** Transaction Amount of derivative Ownership of Indirect or Exercise Price of if any (Month/Day/Year) (Month/Day/Year Code (Instr. 8) Derivative Securities Underlying Security (Instr. 5) Form: Direct (D) Beneficial Securities Beneficially Securities Ownership Derivative Acquired Derivativ Owned or Indirect (Instr. 4) ollowing (I) (Instr. 4) (A) or Security (Instr. Security Reported Transaction(s) Disposed 3 and 4) of (D) (Instr. 3, 4 (Instr. 4)

## **Explanation of Responses:**

1. These shares are held by the Silveira Family Trust dated February 15, 2017 of which the reporting person is a Trustee.

## Remarks:

/s/ Michael B. Haines, Attorney-in-fact for Lauren N. 01/03/2025

Amount Number

Shares

Silveira

Title

Expiration

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

and 5)

(A)

(D)

ν

Code

Date

Exercisable